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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
785-010930-US(PAR)

Applicant, Patentee, or Identifier: _____

Application or Patent No.: 10/089,933

Filed or Issued: _____

Title: Method And Device For Moving And Placing Liquid Drops In A Controlled Manner

I hereby state that I am

☐ the owner of the small business concern identified below:

☒

NAME OF SMALL BUSINESS CONCERN Sunyx Surface Nanotechnologies GmbH

ADDRESS OF SMALL BUSINESS CONCERN Stolberger Strasse 370, 50933 Köln, Germany

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed

☒ below:

☐ no such person, concern, or organization exists.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any

NAME OF PERSON SIGNING Dr. Karsten Reihls

TITLE OF PERSON IF OTHER THAN OWNER Managing Partner

ADDRESS OF PERSON SIGNING Stolberger Strasse 370, 50933 Köln

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PTO/SB/21 (01-03)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/089,933
	Filing Date	
	First Named Inventor	Karsten F. EHS
	Art Unit	1741
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	785-010930-US(PAR)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Statement Claiming Small Entity Status - (37 CFR 1.9(f) & 1.27(c)) - Small Business Concern	

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Clarence A. Green, Reg. No.: 22,622 PERMAN & GREEN, LLP
Signature	
Date	March 4, 2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>March 4, 2003</u>	
Typed or printed	Deborah J. Clark
Signature	
Date	March 4, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application for a patent. Confidentiality is provided by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including review of the information provided. If you have any comments or suggestions, please contact the Office of Management and Enterprise Services, U.S. Department of Commerce, Washington, DC 20231. (202) 481-8000. FAX: (202) 481-8001. E-MAIL: ome@doc.gov. Web: www.doc.gov. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.